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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



application of

Docket No: Q80999

Mayumi TAKAMORI, et al.

Appln. No.: 10/827,448

Group Art Unit: 1752

Confirmation No.: 2726

Examiner: not yet assigned

Filed: April 20, 2004

For: NOVEL ORGANOMETALLIC IRIDIUM COMPOUND, PROCESS OF PRODUCING
THE SAME, AND PROCESS OF PRODUCING THIN FILM

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

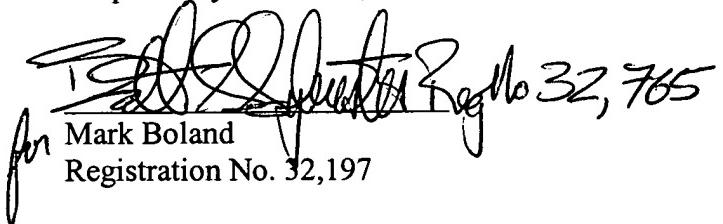
INFORMATION DISCLOSURE STATEMENT
U.S. Appln. No.: 10/827,448
Attorney Docket No. Q80999

The present Information Disclosure Statement is being filed thirty days or fewer from the communication from a foreign patent office and a Statement Under 37 C.F.R. §1.704(d) is attached.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents, together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,


for Mark Boland
Registration No. 32,197

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
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WASHINGTON OFFICE
23373
CUSTOMER NUMBER

Date: October 1, 2004

Substitute for Form 1449 A & B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/827,448
				Confirmation Number	2726
				Filing Date	April 20, 2004
				First Named Inventor	Mayumi TAKAMORI
				Art Unit	1752
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	Q80999

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US 5,130,172	A	07-14-1992	Hicks, et al.

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		WO	91/01361	A	02-07-1991	Fuel Tech, Inc.	
		JP	11-292888	A	10-26-1999	Kojundo Chem. Lab Co., Ltd.	Abstract

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.				Translation ⁶
		FUJISAWA, et al., "Ir thin films for PZT capacitors prepared by MOCVD using a new Ir precursor", Materials Research Society Symposium Proceedings, vol. 784, 2004, pages 269-274				
		KAWANO, et al., "A novel iridium precursor for MOCVD", Materials Research Society Symposium Proceedings, vol. 784, 2004, pages 145-150				
		BUCHMANN, et al., "Reactions with metal-coordinated olefins. Part VI. Transition metal NMR spectroscopy. Part X. Protonated diolefin complexes: model systems for carbon-hydrogen activation via metal complexation", Helvetica Chimica Acta, vol. 70, no. 6, 1987, pages 1487-1506				
		GREEN, et al., "Helium (He I) and helium (He II) photoelectron spectroscopic studies of the bonding in cobalt, rhodium and iridium cyclopentadienyl diene complexes", Organometallics, vol. 3, no. 2, February 1984, pages 211-217				
		POWELL, "Diene and dienyl complexes of transition elements V. Preparation of .eta.-cyclopentadienyl-.eta.-methyl dioetherhodium and -iridium complexes: base hydrolysis and protonation studies", Journal of Organometallic Chemistry, vol. 243, no. 2, February 8, 1983, pages 205-211				
		POWELL, "Diene and dienyl complexes of transition elements. III. Protonation of .eta.-cyclopentadienyl-.eta.-dienorhodium and -iridium complexes: synthesis of cationic complexes containing open chain pentadienyl ligands", Journal of Organometallic Chemistry, vol. 206, no. 2, February 24, 1981, pages 239-255				
		POWELL, "Diene and dienyl complexes of transition elements. II. The protonation of .eta.-diaryldienone (.eta.-cyclopentadienyl)rhodium(I) and -iridium(I) complexes", Journal of Organometallic Chemistry, vol. 206, no. 2, February 24, 1981, pages 229-237				
		POWELL, ".eta.5-Cyclopentadienyl-.eta.5-syn-1-methylpentadienylrhodium and iridium cations - preparation and reactions with nucleophiles", Journal of Organometallic Chemistry, vol. 165, no. 3, February 6, 1979, pages C43-C46				
		POWELL, "Diene complexes of rhodium and iridium: preparation of complexes of the type 'M(diene)(C5H5)' using dienone and dienal ligands", Journal of Chemical Research, Synopses, no. 8, 1978, page 283				
		JOHNSON, et al., "Reactivity of coordinated ligands. XIII. Electrophilic substitution reactions of 1,3-cyclohexadiene complexes of rhodium (I) and iridium (I)", Journal of the Chemical Society, Dalton Transactions: Inorganic Chemistry, no. 19, 1972, pages 2084-2089				
		WINKHAUS, et al., "Iridium(I)-Olefinkomplexe", Chemische Berichte, Verlag Chemie GMHB. Weinheim, DE, vol. 99, 1966, pages 3610-3618				
		ORO, "pi-Cyclopentadienyl (butadiene)iridium(I)", Inorganica Chimica Acta, vol. 21, 1977, page L6				
Examiner Signature			Date Considered			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.

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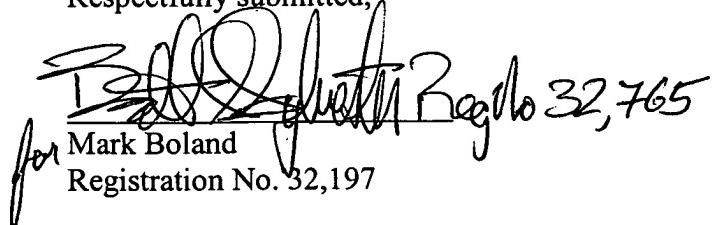
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and that the communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of said Information Disclosure Statement.

Respectfully submitted,


for Mark Boland
Registration No. 32,197

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